

General Assembly

Raised Bill No. 1123

January Session, 2005

LCO No. 3674

03674_____JUD

Referred to Committee on Judiciary

Introduced by: (JUD)

AN ACT ADOPTING THE UNIFORM ARBITRATION ACT.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. (NEW) (Effective October 1, 2005) Sections 1 to 35,
- 2 inclusive, of this act may be cited as the "Uniform Arbitration Act".
- 3 Sec. 2. (NEW) (Effective October 1, 2005) As used in sections 1 to 35,
- 4 inclusive, of this act:
- 5 (1) "Arbitration organization" means an association, agency, board,
- 6 commission or other entity that is neutral and initiates, sponsors or
- 7 administers an arbitration proceeding or is involved in the
- 8 appointment of an arbitrator.
- 9 (2) "Arbitrator" means an individual appointed to render an award,
- alone or with others, in a controversy that is subject to an agreement to
- 11 arbitrate.
- 12 (3) "Court" means the Superior Court.
- 13 (4) "Knowledge" means actual knowledge.

- 14 (5) "Person" means an individual, corporation, business trust, estate, 15 trust, partnership, limited liability company, association, joint venture, 16 government; governmental subdivision, agency or instrumentality; 17 public corporation; or any other legal or commercial entity.
- 18 (6) "Record" means information that is inscribed on a tangible 19 medium or that is stored in an electronic or other medium and is 20 retrievable in perceivable form.
- Sec. 3. (NEW) (*Effective October 1, 2005*) (a) Except as otherwise provided in sections 10, 16, 20, 21 and 24 to 26, inclusive, of this act, a person gives notice to another person by taking action that is reasonably necessary to inform the other person in ordinary course, whether or not the other person acquires knowledge of the notice.
- 26 (b) A person has notice if the person has knowledge of the notice or 27 has received notice.
- (c) A person receives notice when it comes to the person's attention or the notice is delivered at the person's place of residence or place of business, or at another location held out by the person as a place of delivery of such communications.
- Sec. 4. (NEW) (*Effective October 1, 2005*) (a) Sections 1 to 35, inclusive, of this act govern an agreement to arbitrate made on or after the effective date of this section.
- 35 (b) Sections 1 to 35, inclusive, of this act govern an agreement to 36 arbitrate made before the effective date of this section if all the parties 37 to the agreement or to the arbitration proceeding so agree in a record.
- 38 (c) On and after October 1, 2006, sections 1 to 35, inclusive, of this act govern an agreement to arbitrate whenever made.
- Sec. 5. (NEW) (*Effective October 1, 2005*) (a) Except as otherwise provided in subsections (b) and (c) of this section, a party to an agreement to arbitrate or to an arbitration proceeding may waive, or

- the parties may vary the effect of, the requirements of sections 1 to 35, inclusive, of this act to the extent permitted by law.
- 45 (b) Before a controversy arises that is subject to an agreement to 46 arbitrate, a party to the agreement may not:
- 47 (1) Waive or agree to vary the effect of the requirements of 48 subsection (a) of section 6, subsection (a) of section 7, section 9, 49 subsection (a) or (b) of section 18 or section 28 or 30 of this act;
- 50 (2) Agree to unreasonably restrict the right under section 10 of this 51 act to notice of the initiation of an arbitration proceeding;
- 52 (3) Agree to unreasonably restrict the right under section 13 of this 53 act to disclosure of any facts by a neutral arbitrator; or
 - (4) Waive the right under section 17 of this act of a party to an agreement to arbitrate to be represented by a lawyer at any proceeding or hearing under sections 1 to 35, inclusive, of this act, but an employer and a labor organization may waive the right to representation by a lawyer in a labor arbitration.
 - (c) A party to an agreement to arbitrate or arbitration proceeding may not waive, or the parties may not vary the effect of, the requirements of this section or subsection (a) or (c) of section 4, section 8, 15 or 19, subsection (d) or (e) of section 21, section 24, 25 or 26, subsection (a) or (b) of section 27 or sections 31 to 35, inclusive, of this act.
- Sec. 6. (NEW) (*Effective October 1, 2005*) (a) Except as otherwise provided in section 30 of this act, an application for judicial relief under sections 1 to 35, inclusive, of this act must be made by motion to the court and heard in the manner provided by law or rule of court for making and hearing motions.
- 70 (b) Unless a civil action involving the agreement to arbitrate is 71 pending, notice of an initial motion to the court under sections 1 to 35,

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- 73 the service of a summons in a civil action. Otherwise, notice of the
- 74 motion must be given in the manner provided by law or rule of court
- 75 for serving motions in pending cases.
- Sec. 7. (NEW) (Effective October 1, 2005) (a) An agreement contained
- 77 in a record to submit to arbitration any existing or subsequent
- 78 controversy arising between the parties to the agreement is valid,
- 79 enforceable and irrevocable except upon a ground that exists at law or
- 80 in equity for the revocation of a contract.
- 81 (b) The court shall decide whether an agreement to arbitrate exists
- 82 or a controversy is subject to an agreement to arbitrate.
- 83 (c) An arbitrator shall decide whether a condition precedent to
- 84 arbitrability has been fulfilled and whether a contract containing a
- valid agreement to arbitrate is enforceable.
- 86 (d) If a party to a judicial proceeding challenges the existence of, or
- 87 claims that a controversy is not subject to, an agreement to arbitrate,
- 88 the arbitration proceeding may continue pending final resolution of
- 89 the issue by the court, unless the court otherwise orders.
- 90 Sec. 8. (NEW) (Effective October 1, 2005) (a) On motion of a person
- 91 showing an agreement to arbitrate and alleging another person's
- 92 refusal to arbitrate pursuant to the agreement:
- 93 (1) If the refusing party does not appear or does not oppose the
- 94 motion, the court shall order the parties to arbitrate; and
- 95 (2) If the refusing party opposes the motion, the court shall proceed
- 96 summarily to decide the issue and order the parties to arbitrate unless
- 97 it finds that there is no enforceable agreement to arbitrate.
- 98 (b) On motion of a person alleging that an arbitration proceeding
- 99 has been initiated or threatened but that there is no agreement to
- arbitrate, the court shall proceed summarily to decide the issue. If the

- 101 court finds that there is an enforceable agreement to arbitrate, it shall order the parties to arbitrate.
- 103 (c) If the court finds that there is no enforceable agreement, it may 104 not, pursuant to subsection (a) or (b) of this section, order the parties to 105 arbitrate.
- (d) The court may not refuse to order arbitration because the claim
 subject to arbitration lacks merit or grounds for the claim have not
 been established.
 - (e) If a proceeding involving a claim referable to arbitration under an alleged agreement to arbitrate is pending in court, a motion under this section must be made in that court. Otherwise, a motion under this section may be made in any court as provided in section 29 of this act.
- (f) If a party makes a motion to the court to order arbitration, the court on just terms shall stay any judicial proceeding that involves a claim alleged to be subject to the arbitration until the court renders a final decision under this section.
- 117 (g) If the court orders arbitration, the court on just terms shall stay 118 any judicial proceeding that involves a claim subject to the arbitration. 119 If a claim subject to the arbitration is severable, the court may limit the 120 stay to that claim.
 - Sec. 9. (NEW) (*Effective October 1, 2005*) (a) Before an arbitrator is appointed and is authorized and able to act, the court, upon motion of a party to an arbitration proceeding and for good cause shown, may enter an order for provisional remedies to protect the effectiveness of the arbitration proceeding to the same extent and under the same conditions as if the controversy were the subject of a civil action.
- 127 (b) After an arbitrator is appointed and is authorized and able to act:
- 128 (1) The arbitrator may issue such orders for provisional remedies, 129 including interim awards, as the arbitrator finds necessary to protect

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- the effectiveness of the arbitration proceeding and to promote the fair
- and expeditious resolution of the controversy, to the same extent and
- under the same conditions as if the controversy were the subject of a
- 133 civil action; and
- 134 (2) A party to an arbitration proceeding may move the court for a
- provisional remedy only if the matter is urgent and the arbitrator is not
- able to act timely or the arbitrator cannot provide an adequate remedy.
- 137 (c) A party does not waive a right of arbitration by making a motion
- under subsection (a) or (b) of this section.
- 139 Sec. 10. (NEW) (Effective October 1, 2005) (a) A person initiates an
- arbitration proceeding by giving notice in a record to the other parties
- to the agreement to arbitrate in the agreed manner between the parties
- or, in the absence of agreement, by certified or registered mail, return
- 143 receipt requested and obtained, or by service as authorized for the
- 144 commencement of a civil action. The notice must describe the nature of
- the controversy and the remedy sought.
- (b) Unless a person objects for lack or insufficiency of notice under
- subsection (c) of section 16 of this act not later than the beginning of
- the arbitration hearing, the person by appearing at the hearing waives
- any objection to lack of or insufficiency of notice.
- 150 Sec. 11. (NEW) (Effective October 1, 2005) (a) Except as otherwise
- provided in subsection (c) of this section, upon motion of a party to an
- agreement to arbitrate or to an arbitration proceeding, the court may
- order consolidation of separate arbitration proceedings as to all or
- 154 some of the claims if:
- 155 (1) There are separate agreements to arbitrate or separate arbitration
- 156 proceedings between the same persons or one of them is a party to a
- 157 separate agreement to arbitrate or a separate arbitration proceeding
- 158 with a third person;
- 159 (2) The claims subject to the agreements to arbitrate arise in

- 160 substantial part from the same transaction or series of related 161 transactions;
- 162 (3) The existence of a common issue of law or fact creates the 163 possibility of conflicting decisions in the separate arbitration 164 proceedings; and
- 165 (4) Prejudice resulting from a failure to consolidate is not 166 outweighed by the risk of undue delay or prejudice to the rights of or 167 hardship to parties opposing consolidation.
- 168 (b) The court may order consolidation of separate arbitration 169 proceedings as to some claims and allow other claims to be resolved in 170 separate arbitration proceedings.
- 171 (c) The court may not order consolidation of the claims of a party to 172 an agreement to arbitrate if the agreement prohibits consolidation.
- 173 Sec. 12. (NEW) (Effective October 1, 2005) (a) If the parties to an 174 agreement to arbitrate agree on a method for appointing an arbitrator, 175 that method must be followed, unless the method fails. If the parties 176 have not agreed on a method, the agreed method fails, or an arbitrator 177 appointed fails or is unable to act and a successor has not been appointed, the court, on motion of a party to the arbitration 178 179 proceeding, shall appoint the arbitrator. An arbitrator so appointed has 180 all the powers of an arbitrator designated in the agreement to arbitrate 181 or appointed pursuant to the agreed method.
- 182 (b) An individual who has a known, direct and material interest in 183 the outcome of the arbitration proceeding or a known, existing and 184 substantial relationship with a party may not serve as an arbitrator required by an agreement to be neutral.
- 186 Sec. 13. (NEW) (Effective October 1, 2005) (a) Before accepting 187 appointment, an individual who is requested to serve as an arbitrator, 188 after making a reasonable inquiry, shall disclose to all parties to the 189 agreement to arbitrate and arbitration proceeding and to any other

- 190 arbitrators any known facts that a reasonable person would consider
- 191 likely to affect the impartiality of the arbitrator in the arbitration
- 192 proceeding, including:

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- 193 (1) A financial or personal interest in the outcome of the arbitration 194 proceeding; and
- 195 (2) An existing or past relationship with any of the parties to the 196 agreement to arbitrate or the arbitration proceeding, their counsel or 197 representatives, a witness or another arbitrator.
 - (b) An arbitrator has a continuing obligation to disclose to all parties to the agreement to arbitrate and arbitration proceeding and to any other arbitrators any facts that the arbitrator learns after accepting appointment which a reasonable person would consider likely to affect the impartiality of the arbitrator.
- 203 (c) If an arbitrator discloses a fact required by subsection (a) or (b) of 204 this section to be disclosed and a party timely objects to the 205 appointment or continued service of the arbitrator based upon the fact 206 disclosed, the objection may be a ground under subdivision (2) of 207 subsection (a) of section 25 of this act for vacating an award made by 208 the arbitrator.
- 209 (d) If the arbitrator did not disclose a fact as required by subsection 210 (a) or (b) of this section, upon timely objection by a party, the court 211 under subdivision (2) of subsection (a) of section 25 of this act may 212 vacate an award.
 - (e) An arbitrator appointed as a neutral arbitrator who does not disclose a known, direct and material interest in the outcome of the arbitration proceeding or a known, existing and substantial relationship with a party is presumed to act with evident partiality under subdivision (2) of subsection (a) of section 25 of this act.
- 218 (f) If the parties to an arbitration proceeding agree to the procedures 219 of an arbitration organization or any other procedures for challenges to

- arbitrators before an award is made, substantial compliance with those
- procedures is a condition precedent to a motion to vacate an award on
- that ground under subdivision (2) of subsection (a) of section 25 of this
- 223 act.
- Sec. 14. (NEW) (Effective October 1, 2005) If there is more than one
- arbitrator, the powers of an arbitrator must be exercised by a majority
- of the arbitrators, but all of them shall conduct the hearing under
- subsection (c) of section 16 of this act.
- Sec. 15. (NEW) (Effective October 1, 2005) (a) An arbitrator or an
- arbitration organization acting in that capacity is immune from civil
- 230 liability to the same extent as a judge of a court of this state acting in a
- 231 judicial capacity.
- 232 (b) The immunity afforded by this section supplements any
- 233 immunity under other law.
- 234 (c) The failure of an arbitrator to make a disclosure required by
- section 13 of this act does not cause any loss of immunity under this
- 236 section.
- 237 (d) In a judicial, administrative or similar proceeding, an arbitrator
- 238 or representative of an arbitration organization is not competent to
- 239 testify and may not be required to produce records as to any
- statement, conduct, decision or ruling occurring during the arbitration
- 241 proceeding, to the same extent as a judge of a court of this state acting
- in a judicial capacity. This subsection does not apply:
- 243 (1) To the extent necessary to determine the claim of an arbitrator,
- 244 arbitration organization or representative of the arbitration
- organization against a party to the arbitration proceeding; or
- 246 (2) To a hearing on a motion to vacate an award under subdivision
- 247 (1) or (2) of subsection (a) of section 25 of this act if the moving party
- establishes prima facie that a ground for vacating the award exists.

- (e) If a person commences a civil action against an arbitrator, arbitration organization or representative of an arbitration organization arising from the services of the arbitrator, organization or representative or if a person seeks to compel an arbitrator or a representative of an arbitration organization to testify or produce records in violation of subsection (d) of this section, and the court decides that the arbitrator, arbitration organization or representative of an arbitration organization is immune from civil liability or that the arbitrator or representative of the organization is not competent to testify, the court shall award to the arbitrator, organization or representative reasonable attorney's fees and other reasonable expenses of litigation.
- Sec. 16. (NEW) (*Effective October 1, 2005*) (a) An arbitrator may conduct an arbitration in such manner as the arbitrator considers appropriate for a fair and expeditious disposition of the proceeding. The authority conferred upon the arbitrator includes the power to hold conferences with the parties to the arbitration proceeding before the hearing and, among other matters, determine the admissibility, relevance, materiality and weight of any evidence.
 - (b) An arbitrator may decide a request for summary disposition of a claim or particular issue:
- 270 (1) If all interested parties agree; or
- (2) Upon request of one party to the arbitration proceeding if that party gives notice to all other parties to the proceeding, and the other parties have a reasonable opportunity to respond.
 - (c) If an arbitrator orders a hearing, the arbitrator shall set a time and place and give notice of the hearing not less than five days before the hearing begins. Unless a party to the arbitration proceeding makes an objection to lack or insufficiency of notice not later than the beginning of the hearing, the party's appearance at the hearing waives the objection. Upon request of a party to the arbitration proceeding

280 and for good cause shown, or upon the arbitrator's own initiative, the 281 arbitrator may adjourn the hearing from time to time as necessary but 282 may not postpone the hearing to a time later than that fixed by the 283 agreement to arbitrate for making the award unless the parties to the 284 arbitration proceeding consent to a later date. The arbitrator may hear 285 and decide the controversy upon the evidence produced although a 286 party who was duly notified of the arbitration proceeding did not 287 appear. The court, on request, may direct the arbitrator to conduct the 288 hearing promptly and render a timely decision.

- (d) At a hearing under subsection (c) of this section, a party to the arbitration proceeding has a right to be heard, to present evidence material to the controversy and to cross-examine witnesses appearing at the hearing.
- (e) If an arbitrator ceases or is unable to act during the arbitration proceeding, a replacement arbitrator must be appointed in accordance with section 12 of this act to continue the proceeding and to resolve the controversy.
- Sec. 17. (NEW) (*Effective October 1, 2005*) A party to an arbitration proceeding may be represented by a lawyer.
- Sec. 18. (NEW) (*Effective October 1, 2005*) (a) An arbitrator may issue a subpoena for the attendance of a witness and for the production of records and other evidence at any hearing and may administer oaths. A subpoena must be served in the manner for service of subpoenas in a civil action and, upon motion to the court by a party to the arbitration proceeding or the arbitrator, enforced in the manner for enforcement of subpoenas in a civil action.
 - (b) In order to make the proceedings fair, expeditious and cost effective, upon request of a party to or a witness in an arbitration proceeding, an arbitrator may permit a deposition of any witness to be taken for use as evidence at the hearing, including a witness who cannot be subpoenaed for or is unable to attend a hearing. The

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- arbitrator shall determine the conditions under which the deposition is taken.
- 313 (c) An arbitrator may permit such discovery as the arbitrator 314 decides is appropriate in the circumstances, taking into account the 315 needs of the parties to the arbitration proceeding and other affected 316 persons and the desirability of making the proceeding fair, expeditious 317 and cost effective.
 - (d) If an arbitrator permits discovery under subsection (c) of this section, the arbitrator may order a party to the arbitration proceeding to comply with the arbitrator's discovery-related orders, issue subpoenas for the attendance of a witness and for the production of records and other evidence at a discovery proceeding, and take action against a noncomplying party to the extent a court could if the controversy were the subject of a civil action in this state.
 - (e) An arbitrator may issue a protective order to prevent the disclosure of privileged information, confidential information, trade secrets and other information protected from disclosure to the extent a court could if the controversy were the subject of a civil action in this state.
 - (f) All laws compelling a person under subpoena to testify and all fees for attending a judicial proceeding, a deposition or a discovery proceeding as a witness apply to an arbitration proceeding as if the controversy were the subject of a civil action in this state.
 - (g) The court may enforce a subpoena or discovery-related order for the attendance of a witness within this state and for the production of records and other evidence issued by an arbitrator in connection with an arbitration proceeding in another state upon conditions determined by the court so as to make the arbitration proceeding fair, expeditious and cost effective. A subpoena or discovery-related order issued by an arbitrator in another state must be served in the manner provided by law for service of subpoenas in a civil action in this state and, upon

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- motion to the court by a party to the arbitration proceeding or the arbitrator, enforced in the manner provided by law for enforcement of subpoenas in a civil action in this state.
- 345 Sec. 19. (NEW) (Effective October 1, 2005) If an arbitrator makes a 346 preaward ruling in favor of a party to the arbitration proceeding, the 347 party may request the arbitrator to incorporate the ruling into an 348 award under section 20 of this act. A prevailing party may make a 349 motion to the court for an expedited order to confirm the award under 350 section 24 of this act, in which case the court shall summarily decide 351 the motion. The court shall issue an order to confirm the award unless 352 the court vacates, modifies or corrects the award under section 25 or 26 353 of this act.
- Sec. 20. (NEW) (*Effective October 1, 2005*) (a) An arbitrator shall make a record of an award. The record must be signed or otherwise authenticated by any arbitrator who concurs with the award. The arbitrator or the arbitration organization shall give notice of the award, including a copy of the award, to each party to the arbitration proceeding.
 - (b) An award must be made within the time specified by the agreement to arbitrate or, if not specified therein, within the time ordered by the court. The court may extend or the parties to the arbitration proceeding may agree in a record to extend the time. The court or the parties may do so within or after the time specified or ordered. A party waives any objection that an award was not timely made unless the party gives notice of the objection to the arbitrator before receiving notice of the award.
- Sec. 21. (NEW) (*Effective October 1, 2005*) (a) On motion to an arbitrator by a party to an arbitration proceeding, the arbitrator may modify or correct an award:
- 371 (1) Upon a ground stated in subdivision (1) or (3) of subsection (a) 372 of section 26 of this act;

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- 373 (2) Because the arbitrator has not made a final and definite award 374 upon a claim submitted by the parties to the arbitration proceeding; or
- 375 (3) To clarify the award.
- 376 (b) A motion under subsection (a) of this section must be made and 377 notice given to all parties within twenty days after the moving party 378 receives notice of the award.
- (c) A party to the arbitration proceeding must give notice of any objection to the motion within ten days after receipt of the notice.
- 381 (d) If a motion to the court is pending under section 24, 25 or 26 of 382 this act, the court may submit the claim to the arbitrator to consider 383 whether to modify or correct the award:
- 384 (1) Upon a ground stated in subdivision (1) or (3) of subsection (a) of section 26 of this act;
- 386 (2) Because the arbitrator has not made a final and definite award 387 upon a claim submitted by the parties to the arbitration proceeding; or
- 388 (3) To clarify the award.
- 389 (e) An award modified or corrected pursuant to this section is 390 subject to subsection (a) of section 20 and sections 24, 25 and 26 of this 391 act.
- Sec. 22. (NEW) (*Effective October 1, 2005*) (a) An arbitrator may award punitive damages or other exemplary relief if such an award is authorized by law in a civil action involving the same claim and the evidence produced at the hearing justifies the award under the legal standards otherwise applicable to the claim.
- (b) An arbitrator may award reasonable attorney's fees and other reasonable expenses of arbitration if such an award is authorized by law in a civil action involving the same claim or by the agreement of the parties to the arbitration proceeding.

- (c) As to all remedies other than those authorized by subsections (a) and (b) of this section, an arbitrator may order such remedies as the arbitrator considers just and appropriate under the circumstances of the arbitration proceeding. The fact that such a remedy could not or would not be granted by the court is not a ground for refusing to confirm an award under section 24 of this act or for vacating an award under section 25 of this act.
 - (d) An arbitrator's expenses and fees, together with other expenses, must be paid as provided in the award.
- (e) If an arbitrator awards punitive damages or other exemplary relief under subsection (a) of this section, the arbitrator shall specify in the award the basis in fact justifying and the basis in law authorizing the award and state separately the amount of the punitive damages or other exemplary relief.
- Sec. 23. (NEW) (*Effective October 1, 2005*) Any application under section 24, 25 or 26 of this act shall be heard in the manner provided by law for hearing written motions at a short calendar session, or otherwise as the court may direct, in order to dispose of the case with the least possible delay.
- Sec. 24. (NEW) (*Effective October 1, 2005*) After a party to an arbitration proceeding receives notice of an award, the party may make a motion to the court for an order confirming the award at which time the court shall issue a confirming order unless the award is modified or corrected pursuant to section 21 or 26 of this act or is vacated pursuant to section 25 of this act.
- Sec. 25. (NEW) (*Effective October 1, 2005*) (a) Upon motion to the court by a party to an arbitration proceeding, the court shall vacate an award made in the arbitration proceeding if:
- (1) The award was procured by corruption, fraud or other undue means;

- 431 (2) There was: (A) Evident partiality by an arbitrator appointed as a 432 neutral arbitrator; (B) corruption by an arbitrator; or (C) misconduct by 433 an arbitrator prejudicing the rights of a party to the arbitration 434 proceeding;
- (3) An arbitrator refused to postpone the hearing upon showing of sufficient cause for postponement, refused to consider evidence material to the controversy, or otherwise conducted the hearing contrary to section 16 of this act, so as to prejudice substantially the rights of a party to the arbitration proceeding;
 - (4) An arbitrator exceeded the arbitrator's powers;
- 441 (5) There was no agreement to arbitrate, unless the person 442 participated in the arbitration proceeding without raising the objection 443 under subsection (c) of section 16 of this act not later than the 444 beginning of the arbitration hearing; or
 - (6) The arbitration was conducted without proper notice of the initiation of an arbitration as required in section 10 of this act so as to prejudice substantially the rights of a party to the arbitration proceeding.
 - (b) Except for a labor arbitration pursuant to section 31-97 of the general statutes, as amended by this act, a motion under this section must be filed within ninety days after the moving party receives notice of the award pursuant to section 20 of this act or within ninety days after the moving party receives notice of a modified or corrected award pursuant to section 21 of this act, unless the moving party alleges that the award was procured by corruption, fraud or other undue means, in which case the motion must be made within ninety days after the ground is known or by the exercise of reasonable care would have been known by the moving party.
- (c) If the court vacates an award on a ground other than that set forth in subdivision (5) of subsection (a) of this section, it may order a

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- 461 rehearing. If the award is vacated on a ground stated in subdivision (1) 462 or (2) of subsection (a) of this section, the rehearing must be before a 463 new arbitrator. If the award is vacated on a ground stated in 464 subdivision (3), (4) or (6) of subsection (a) of this section, the rehearing 465 may be before the arbitrator who made the award or the arbitrator's 466 successor. The arbitrator must render the decision in the rehearing 467 within the same time as that provided in subsection (b) of section 20 of 468 this act for an award.
- (d) If the court denies a motion to vacate an award, it shall confirm the award unless a motion to modify or correct the award is pending.
- (e) Any party filing a motion under subsection (a) of this section concerning an arbitration award issued by the State Board of Mediation and Arbitration shall notify said board and the Attorney General, in writing, of such motion not later than five days from the date of filing.
 - Sec. 26. (NEW) (Effective October 1, 2005) (a) Except for a labor arbitration pursuant to section 31-97 of the general statutes, as amended by this act, upon motion made within ninety days after the moving party receives notice of the award pursuant to section 20 of this act or within ninety days after the moving party receives notice of a modified or corrected award pursuant to section 21 of this act, the court shall modify or correct the award if:
- (1) There was an evident mathematical miscalculation or an evident mistake in the description of a person, thing or property referred to in the award;
- 486 (2) The arbitrator has made an award on a claim not submitted to 487 the arbitrator and the award may be corrected without affecting the 488 merits of the decision upon the claims submitted; or
- 489 (3) The award is imperfect in a matter of form not affecting the 490 merits of the decision on the claims submitted.

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- (b) If a motion made under subsection (a) of this section is granted, the court shall modify or correct and confirm the award as modified or corrected. Otherwise, unless a motion to vacate is pending, the court shall confirm the award.
- (c) A motion to modify or correct an award pursuant to this section may be joined with a motion to vacate the award.
- Sec. 27. (NEW) (*Effective October 1, 2005*) (a) Upon granting an order confirming, vacating without directing a rehearing, modifying or correcting an award, the court shall enter a judgment in conformity therewith. The judgment may be recorded, docketed and enforced as any other judgment in a civil action.
- 502 (b) A court may allow reasonable costs of the motion and subsequent judicial proceedings.
- (c) On application of a prevailing party to a contested judicial proceeding under section 24, 25 or 26 of this act, the court may, if the court finds that the position taken by the other party was frivolous, add reasonable attorney's fees and other reasonable expenses of litigation incurred in a judicial proceeding after the award is made to a judgment confirming, vacating without directing a rehearing, modifying or correcting an award.
- Sec. 28. (NEW) (*Effective October 1, 2005*) (a) A court of this state having jurisdiction over the controversy and the parties may enforce an agreement to arbitrate.
- (b) An agreement to arbitrate providing for arbitration in this state confers exclusive jurisdiction on the court to enter judgment on an award under sections 1 to 35, inclusive, of this act.
- Sec. 29. (NEW) (*Effective October 1, 2005*) A motion pursuant to section 6 of this act must be made in the court for the judicial district in which the agreement to arbitrate specifies the arbitration hearing is to be held or, if the hearing has been held, in the court for the judicial

- 521 district in which it was held. Otherwise, the motion may be made in
- 522 the court for any judicial district in which an adverse party resides or
- 523 has a place of business or, if no adverse party has a residence or place
- of business in this state, in the court for any judicial district in this
- 525 state. All subsequent motions must be made in the court hearing the
- 526 initial motion unless the court otherwise directs.
- Sec. 30. (NEW) (Effective October 1, 2005) (a) An appeal may be taken
- 528 from:
- 529 (1) An order denying a motion to compel arbitration;
- 530 (2) An order granting a motion to stay arbitration;
- 531 (3) An order confirming or denying confirmation of an award;
- 532 (4) An order modifying or correcting an award;
- 533 (5) An order vacating an award without directing a rehearing; or
- 534 (6) A final judgment entered pursuant to sections 1 to 35, inclusive, 535 of this act.
- 536 (b) An appeal under this section must be taken as from an order or a judgment in a civil action.
- Sec. 31. (NEW) (Effective October 1, 2005) At any time during an
- arbitration proceeding, upon request of all the parties to the arbitration
- 540 proceeding, the arbitrators or an umpire shall make application to any
- 541 designated court for a decision on any question arising in the course of
- 542 the arbitration proceeding, provided such parties shall agree in writing
- 543 that the decision of the court shall be final as to the question
- 544 determined and that it shall bind the arbitrators in rendering their
- award. Any application under this section may be heard in the manner
- 546 provided by law for hearing written motions at a short calendar
- session, or otherwise as the court may direct.
- Sec. 32. (NEW) (Effective October 1, 2005) (a) Any party applying for

an order confirming, modifying or correcting an award shall, at the time the order is filed with the clerk for the entry of judgment thereon, file the following papers with the clerk: (1) The agreement to arbitrate; (2) the selection or appointment, if any, of an additional or substitute arbitrator or an umpire; (3) any written agreement requiring the reference of any question as provided in section 31 of this act; (4) each written extension of the time, if any, within which to make the award; (5) the award; (6) each notice and other paper used upon an application to confirm, modify or correct the award; and (7) a copy of each order of the court upon such an application.

(b) The judgment or decree confirming, modifying or correcting an award shall be docketed as if it were rendered in a civil action. The judgment or decree so entered shall have the same force and effect in all respects as, and be subject to all the provisions of law relating to, a judgment or decree in a civil action, and it may be enforced as if it had been rendered in a civil action in the court in which it is entered. When the award requires the performance of any other act than the payment of money, the court entering the judgment or decree may direct the enforcement thereof in the manner provided by law for the enforcement of equitable decrees.

Sec. 33. (NEW) (*Effective October 1, 2005*) When the parties to any action pending in court desire to refer such action to arbitration, each may choose one arbitrator and the court may appoint a third arbitrator. The award of such arbitrators, returned to and accepted by the court, shall be final and judgment shall be rendered pursuant thereto and execution granted thereon with costs.

Sec. 34. (NEW) (*Effective October 1, 2005*) In applying and construing the uniform provisions of sections 1 to 35, inclusive, of this act, consideration must be given to the need to promote uniformity of the law with respect to its subject matter among states that enact such uniform provisions.

Sec. 35. (NEW) (Effective October 1, 2005) The provisions of sections 1

to 35, inclusive, of this act governing the legal effect, validity or enforceability of electronic records or signatures, and of contracts formed or performed with the use of such records or signatures, conform to the requirements of the Electronic Signatures in Global and National Commerce Act, 15 USC 7002, and supersede, modify and limit said federal act as provided in 15 USC 7002.

- Sec. 36. Subsection (c) of section 4-61 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2006*):
- (c) Once a notice of claim is given to the agency head as required by subsection (b) of this section, each party shall allow the other to examine and copy any nonprivileged documents which may be relevant either to the claimant's claims or to the state's defenses to such claims. Requests to examine and copy documents which have been prepared by the contractor in order to submit a bid shall be subject to a claim of privilege and grounds for an application to any court [or judge pursuant to section 52-415] for a decision on whether such documents constitute trade secrets or other confidential research, development or commercial information and whether such documents shall not be disclosed to the state or shall be disclosed to the state only in a designated way. Any such documents for which no decision is sought or privilege obtained shall not be subject to disclosure under section 1-210 and shall not be disclosed by the agency to any person or agency that is not a party to the arbitration. Such documents shall be used only for settlement or litigation of the parties' claims. The arbitrators shall determine any issue of relevance of such documents after an in camera inspection. The arbitrators shall seal such documents during arbitration and shall return such documents to the claimant after final disposition of the claim.
- Sec. 37. Subdivision (10) of subsection (d) of section 7-473c of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2006*):

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- (10) The decision of the panel and the resolved issues shall be final and binding upon the municipal employer and the municipal employee organization except as provided in subdivision (12) of this subsection and, if such award is not rejected by the legislative body pursuant to said subdivision, except that a motion to vacate or modify such decision may be made in accordance with sections [52-418 and 52-419] 25 and 26 of this act.
- Sec. 38. Subdivision (15) of subsection (d) of section 7-473c of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2006*):
 - (15) Within five days after the completion of such review, the arbitrators or single arbitrator shall render a decision with respect to each rejected issue which shall be final and binding upon the municipal employer and the employee organization except that a motion to vacate or modify such award may be made in accordance with sections [52-418 and 52-419] 25 and 26 of this act. The decision of the arbitrators or single arbitrator shall be in writing and shall include specific reasons and standards used by each arbitrator in making a decision on each issue. The decision shall be filed with the parties. The reasonable costs of the arbitrators or single arbitrator and the cost of the transcript shall be paid by the legislative body. Where the legislative body of a municipal employer is the town meeting, the board of selectmen shall perform all of the duties and shall have all of the authority and responsibilities required of and granted to the legislative body under this subsection.
- Sec. 39. Subdivision (5) of section 7-478e of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2006*):
- (5) The panel shall conclude the hearing within fifteen days after its
 commencement. Within ten days after the hearing, the panel shall
 issue, upon majority vote, and file with the State Board of Mediation
 and Arbitration its decision which shall immediately and

645 simultaneously distribute a copy thereof to each party. In making its 646 decision, the panel shall accept the last best offer of either of the 647 parties. As part of the arbitration decision, each member shall state the 648 specific reasons and standards in making a choice on each unresolved 649 issue. In arriving at its decision, the panel shall be limited to the 650 consideration of the criteria set forth in subdivision (2) of subsection 651 (d) of section 7-473c. The decision of the panel shall be final and 652 binding upon the municipal employer and the municipal employee 653 organization except as provided in section 7-478f, as amended by this 654 act, and, if such award is not rejected by the legislative body pursuant 655 to section 7-478f, as amended by this act, except that a motion to vacate 656 or modify such decision may be made in accordance with sections [52-657 418 and 52-419] 25 and 26 of this act.

Sec. 40. Subdivision (4) of section 7-478f of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2006*):

(4) Not later than December 15, 2000, after the completion of such review, the arbitrators or single arbitrator shall render a written decision with respect to each rejected issue which shall be final and binding upon the municipal employer and the employee organization except that a motion to vacate or modify such award may be made in accordance with sections [52-418 and 52-419] 25 and 26 of this act. The arbitrators or single arbitrator shall accept the last best offer of either of the parties. The decision of the arbitrators or single arbitrator shall be in writing and shall include specific reasons and standards used by each arbitrator in making a decision on each issue. The decision shall be filed with the parties. The reasonable costs of the arbitrators or single arbitrator and the cost of the transcript shall be paid by the legislative body. Where the legislative body of a municipal employer is the town meeting, the board of selectmen shall perform all of the duties and shall have all of the authority and responsibilities required of and granted to the legislative body under this subsection.

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Sec. 41. Section 10-153m of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2006*):

In any action brought pursuant to section [52-418] 25 of this act to vacate an arbitration award rendered in a controversy between a board of education and a teacher or the organization which is the exclusive representative of a group of teachers, or to confirm, pursuant to section [52-417] 24 of this act, such an arbitration award, reasonable attorney's fees and costs may be awarded in accordance with the following: (1) Where the board of education moves to vacate an award and the award is not vacated, the court may award reasonable attorney's fees and costs to the teacher; (2) where the teacher moves to vacate an award and the award is not vacated, the court may award reasonable attorney's fees and costs to the board of education; (3) where the teacher moves to confirm an award, if the board of education refuses to stipulate to such confirmation and if the award is confirmed, the court may award reasonable attorney's fees and costs to the teacher; or (4) where the board of education moves to confirm an award, if the teacher refuses to stipulate to such confirmation and if the award is confirmed, the court may award reasonable attorney's fees and costs to the board of education.

- Sec. 42. Section 31-92a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2006*):
 - (a) Each public member of the Board of Mediation and Arbitration, including alternates, shall be sworn once at the beginning of his term of office (1) to support the Constitution of the United States, and the Constitution of the state of Connecticut, so long as he continues a citizen thereof, (2) to faithfully discharge, according to law, the duties of the office of member of the Board of Mediation and Arbitration for the state of Connecticut to the best of his abilities, (3) to hear and examine all matters in controversy which come before him during his term faithfully and fairly, and (4) to make a just award according to the best of his understanding. Notwithstanding the provisions of

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- [subsection (d) of section 52-414] section 18 of this act, the taking of this oath shall cover all matters heard during the term and the completion of any matter pending at the expiration of such term.
- 712 (b) Each member of the Board of Mediation and Arbitration 713 representing the interests of employees or employers, including 714 alternate members, shall be sworn once at the beginning of his term of 715 office (1) to support the Constitution of the United States, and the 716 Constitution of the state of Connecticut, so long as he continues a 717 citizen thereof, (2) to faithfully discharge, according to law, the duties 718 of the office of member of the Board of Mediation and Arbitration for 719 the state of Connecticut to the best of his abilities, (3) to represent the 720 interests of employees or employers respectively in hearing and 721 examining all matters in controversy, and (4) to make a just award 722 according to the best of his understanding. Notwithstanding the 723 provisions of [subsection (d) of section 52-414] section 18 of this act, the 724 taking of this oath shall cover all matters heard during the term and 725 the completion of any matter pending at the expiration of such term.
- Sec. 43. Section 31-97 of the general statutes is amended by adding subsection (c) as follows (*Effective October 1, 2006*):
- (NEW) (c) No motion to vacate, modify or correct an award pursuant to this section may be made later than thirty days after notice of the award to the moving party.
- Sec. 44. Subdivision (2) of subsection (b) of section 38a-9 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2006*):
 - (2) The commissioner shall prepare a list of at least ten persons, who have not been employed by the department or an insurance company during the preceding twelve months, to serve as arbitrators in the settlement of such disputes. The arbitrators shall be members of any dispute resolution organization approved by the commissioner. One arbitrator shall be appointed to hear and decide each complaint.

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Appointment shall be based solely on the order of the list. If an arbitrator is unable to serve on a given day, or if either party objects to the arbitrator, then the next arbitrator on the list will be selected. The department shall schedule arbitration hearings as often, and in such locations, as it deems necessary. Parties to the dispute shall be provided written notice of the hearing, at least ten days prior to the hearing date. The commissioner may issue subpoenas on behalf of the arbitrator to compel the attendance of witnesses and the production of documents, papers and records relevant to the dispute. Decisions shall be made on the basis of the evidence presented at the arbitration hearing. Where the arbitrator believes that technical expertise is necessary to decide a case, he may consult with an independent expert recommended by the commissioner. The arbitrator and any independent technical expert shall be paid by the department on a per dispute basis as established by the commissioner. The arbitrator, as expeditiously as possible, but not later than fifteen days after the arbitration hearing, shall render a written decision based on the information gathered and disclose the findings and the reasons to the parties involved. The arbitrator shall award filing fees to the prevailing party. If the decision favors the consumer the decision shall provide specific and appropriate remedies including interest at the rate of ten per cent on the arbitration award concerning the disputed amount of the claim, retroactive to the date of payment for the undisputed amount of the claim. The decision may include costs for loss of use and storage of the motor vehicle and shall specify a date for performance and completion of all awarded remedies. Notwithstanding any provision of the general statutes or any regulation to the contrary, the Insurance Department shall not amend, reverse, rescind, or revoke any decision or action of any arbitrator. The department shall contact the consumer within ten working days after the date for performance, to determine whether performance has occurred. Either party may make application to the superior court for the judicial district in which one of the parties resides [or, when the court is not in session, any judge thereof or an order confirming, vacating, modifying or correcting any

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774 award, in accordance with the provisions of sections [52-417, 52-418, 775 52-419 and 52-420] 23, 24, 25 and 26 of this act. If it is determined by 776 the court that either party's position after review has been improved 777 by at least ten per cent over that party's position after arbitration, the 778 court, in its discretion, may grant to that party its costs and reasonable 779 attorney's fees. No evidence, testimony, findings, or decision from the 780 department arbitration procedure shall be admissible in any civil 781 proceeding, except judicial review of the arbitrator's decision as 782 contemplated by this subsection.

Sec. 45. Subdivision (4) of subsection (c) of section 42-181 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2006*):

(4) Any other remedies available under the applicable warranties, section 42-179, this section and sections 42-182 to 42-184, inclusive, or Magnuson-Moss Warranty-Federal Trade Commission Improvement Act, 88 Stat. 2183 (1975), 15 USC 2301 et seq., as in effect on October 1, 1982, other than repair of the vehicle. The decision shall specify a date for performance and completion of all awarded remedies. Notwithstanding any provision of the general statutes or any regulation to the contrary, the Department of Consumer Protection shall not amend, reverse, rescind or revoke any decision or action of an arbitration panel. The department shall contact the consumer, within ten working days after the date for performance, to determine whether performance has occurred. The manufacturer shall act in good faith in abiding by any arbitration decision. In addition, either party to the arbitration may make application to the superior court for the judicial district in which one of the parties resides [or, when the court is not in session, any judge thereof for an order confirming, vacating, modifying or correcting any award, in accordance with the provisions of this section and sections [52-417, 52-418, 52-419 and 52-420] 23, 24, 25 and 26 of this act. Upon filing such application the moving party shall mail a copy of the application to the Attorney General and, upon entry of any judgment or decree, shall mail a copy of such judgment or

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decree to the Attorney General. A review of such application shall be confined to the record of the proceedings before the arbitration panel. The court shall conduct a de novo review of the questions of law raised in the application. In addition to the grounds set forth in sections [52-418 and 52-419 25 and 26 of this act, the court shall consider questions of fact raised in the application. In reviewing questions of fact, the court shall uphold the award unless it determines that the factual findings of the arbitrators are not supported by substantial evidence in the record and that the substantial rights of the moving party have been prejudiced. If the arbitrators fail to state findings or reasons for the award, or the stated findings or reasons are inadequate, the court shall search the record to determine whether a basis exists to uphold the award. If it is determined by the court that the manufacturer has acted without good cause in bringing an appeal of an award, the court, in its discretion, may grant to the consumer his costs and reasonable attorney's fees. If the manufacturer fails to perform all awarded remedies by the date for performance specified by the arbitrators, and the enforcement of the award has not been stayed, [pursuant to subsection (c) of section 52-420,] then each additional day the manufacturer wilfully fails to comply shall be deemed a separate violation for purposes of section 42-184.

Sec. 46. Sections 52-408 to 52-424, inclusive, of the general statutes are repealed. (*Effective October 1, 2006*)

This act shall take effect as follows and shall amend the following sections:		
sections.		
Section 1	October 1, 2005	New section
Sec. 2	October 1, 2005	New section
Sec. 3	October 1, 2005	New section
Sec. 4	October 1, 2005	New section
Sec. 5	October 1, 2005	New section
Sec. 6	October 1, 2005	New section
Sec. 7	October 1, 2005	New section
Sec. 8	October 1, 2005	New section

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Sec. 9	October 1, 2005	New section
Sec. 10	October 1, 2005	New section
Sec. 11	October 1, 2005	New section
Sec. 12	October 1, 2005	New section
Sec. 13	October 1, 2005	New section
Sec. 14	October 1, 2005	New section
Sec. 15	October 1, 2005	New section
Sec. 16	October 1, 2005	New section
Sec. 17	October 1, 2005	New section
Sec. 18	October 1, 2005	New section
Sec. 19	October 1, 2005	New section
Sec. 20	October 1, 2005	New section
Sec. 21	October 1, 2005	New section
Sec. 22	<i>October 1, 2005</i>	New section
Sec. 23	<i>October 1, 2005</i>	New section
Sec. 24	<i>October 1, 2005</i>	New section
Sec. 25	<i>October 1, 2005</i>	New section
Sec. 26	<i>October 1, 2005</i>	New section
Sec. 27	<i>October 1, 2005</i>	New section
Sec. 28	<i>October 1, 2005</i>	New section
Sec. 29	<i>October 1, 2005</i>	New section
Sec. 30	<i>October 1, 2005</i>	New section
Sec. 31	October 1, 2005	New section
Sec. 32	October 1, 2005	New section
Sec. 33	October 1, 2005	New section
Sec. 34	October 1, 2005	New section
Sec. 35	October 1, 2005	New section
Sec. 36	October 1, 2006	4-61(c)
Sec. 37	October 1, 2006	7-473c(d)(10)
Sec. 38	October 1, 2006	7-473c(d)(15)
Sec. 39	October 1, 2006	7-478e(5)
Sec. 40	October 1, 2006	7-478f(4)
Sec. 41	October 1, 2006	10-153m
Sec. 42	October 1, 2006	31-92a
Sec. 43	October 1, 2006	31-97
Sec. 44	October 1, 2006	38a-9(b)(2)
Sec. 45	October 1, 2006	42-181(c)(4)
Sec. 46	October 1, 2006	52-408 to 52-424
		repealed

Statement of Purpose:

To adopt the Uniform Arbitration Act.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]